

# The colors of change

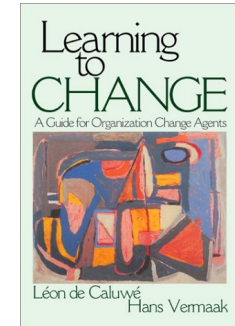


Introduction

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# Dealing with multiple perspectives in the field of change management

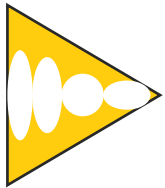







- Change endeavors differ widely. Luckily, so do approaches to change
- Efforts to integrate these approaches have failed again and again (e.g. Beer & Nohria). This failure gains meaning over time.
- Many labels, names, schools and traditions. But a **similar variety** keeps reappearing in both theory and practice.
- Maps of the terrain come into existence: in the Netherlands ‘the colors of change’ late 90’s.
- That model was blessed with 20 years of developmental sprawl and is widely used as ‘common language’.

# Five Ways of Thinking About Change

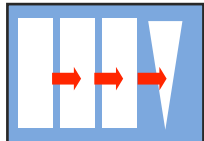
	Something Changes When You . . .
<b>Yellow-print</b> 	Bring the interests of the <b>most important players</b> together by means of a process of negotiation enabling consensus or a win-win solution
<b>Blue-print</b> 	Formulate clear goals and results, then design <b>rationally</b> a systematic approach and then implement the approach according to plan
<b>Red-print</b> 	<b>Motivate</b> and stimulate people to perform best they can, contracting and rewarding desired behavior with the help of HRM-systems
<b>Green-print</b> 	Create settings for <b>learning</b> by using OD interventions, allowing people to become more aware and more competent on their job
<b>White-print</b> 	Understand what <b>underlying patterns</b> drive and block an organization's evolution, focusing interventions to create space for people's energy






# Yellowprint Thinking



Assumptions 	Ideals 
<p><b>Something changes when you:</b></p> <ul style="list-style-type: none"> <li>- Form coalitions between key people</li> <li>- Search for common interests</li> <li>- Negotiate a consensus</li> </ul> <p><b>Conditions:</b></p> <ul style="list-style-type: none"> <li>- Behind closed doors</li> <li>- Sense of urgency</li> </ul> <p><b>“Changing = a power game”</b></p>	<ul style="list-style-type: none"> <li>- Democratic society</li> <li>- Win-win situations</li> <li>- Feasible solutions</li> </ul>
<b>Route</b> 	<b>Dark side</b> 
<p><b>Interventions:</b></p> <ul style="list-style-type: none"> <li>- Alliance building, arbitration, mediation</li> <li>- Changing top structures, policy formation, protégés</li> </ul> <p><b>Diagnostic models:</b></p> <ul style="list-style-type: none"> <li>- Competitive structure, force field analysis</li> <li>- Policy windows, decision making funnel</li> </ul> <p><b>Safeguarding outcomes:</b></p> <ul style="list-style-type: none"> <li>- Result is unknown and changes along the way</li> <li>- policy documents / power balance</li> </ul>	<p><b>Superficial yellow:</b> back room deals, the strongest wins</p> <p><b>Pitfalls:</b> Building castles in the air, power struggles (loose-loose), unreliability</p> <p><b>Allergies:</b> ideologues, details, loss of face </p> <p><b>Change agent</b></p> <p><b>Role:</b> facilitator who guards and uses his power base</p> <ul style="list-style-type: none"> <li>- Sensitive to power relations and context</li> <li>- Governing, conflict resolution, structuring</li> <li>- Independence and self-control</li> </ul> <p><b>Other actors:</b> delegates, opinion leaders, constituencies</p> <p><b>Focus:</b> positions and context</p>






# Blueprint Thinking



Assumptions 	Ideals 
<p><b>Something changes when you:</b></p> <ul style="list-style-type: none"> <li>- Think first and take planned action next</li> <li>- Do research to find objective solutions and clear goals</li> <li>- Design a step by step action plan</li> <li>- Implement it according to plan</li> </ul> <p><b>Conditions:</b></p> <ul style="list-style-type: none"> <li>- Monitor progress and take corrective measures</li> <li>- Foster stability and reduce complexity</li> </ul> <p><b>"Change = a rational process"</b></p>	<ul style="list-style-type: none"> <li>- Progress can be planned; a better world can be 'built'</li> <li>- Order, efficiency, predictability</li> <li>- The 'best' solution (tangible aspects of organizations)</li> </ul>
	<p><b>Dark side</b> </p>
<p><b>Route</b> </p>	<p><b>Superficial blue:</b> mechanistic, ignoring irrational and external factors</p> <p><b>Pitfall:</b> trying to control all, to steamroller over people and their feeling, being a 'hired gun'</p> <p><b>Allergies:</b> vagueness, emotional situations, ambiguity, uncertainty</p>
<p><b>Interventions:</b></p> <ul style="list-style-type: none"> <li>- Strategic analysis (SWOT), Project management</li> <li>- ISO, BPR, ABC, BBS..</li> </ul> <p><b>Diagnostic models:</b></p> <ul style="list-style-type: none"> <li>- Herring bone diagrams, portfolio analysis, benchmarks</li> <li>- Structures, organizational configurations</li> </ul> <p><b>Safeguards:</b></p> <ul style="list-style-type: none"> <li>- Clearly defined and guaranteed result</li> <li>- 'Measuring = knowing', monitoring systems</li> </ul>	<p><b>Change agent</b> </p> <p><b>Role:</b> expert, project leader</p> <ul style="list-style-type: none"> <li>- Know how about the project content</li> <li>- Analyzing, structuring, presenting, planning</li> <li>- Performance, accuracy, independence, straight</li> </ul> <p><b>Other actors:</b> principals, team members, target groups, interference</p> <p><b>Focus:</b> expertise and results</p>






# Redprint Thinking









<p><b>Assumptions</b> </p> <p><b>Something changes when you:</b></p> <ul style="list-style-type: none"> <li>- Use the right incentives to motivate people</li> <li>- Reward and punish people</li> <li>- Make it interesting for people, create a team spirit</li> <li>- Attention, support and recognition</li> </ul> <p><b>Conditions:</b></p> <ul style="list-style-type: none"> <li>- Exercise care and safeguard fairness</li> <li>- Time and space to meet each other</li> </ul> <p><b>"Change = a social phenomenon"</b></p>	<p><b>Ideals</b> </p> <ul style="list-style-type: none"> <li>- Living in harmony, sense of community and care</li> <li>- The optimal fit between organization and individuals</li> <li>- A solution that motivates people</li> </ul>
<p><b>Route</b> </p> <p><b>Interventions:</b></p> <ul style="list-style-type: none"> <li>- Personnel instruments, social gatherings</li> <li>- Management by walking around, soap box</li> </ul> <p><b>Diagnostic models:</b></p> <ul style="list-style-type: none"> <li>- Motivational factors, sources of resistance</li> <li>- Team roles, situational leadership</li> </ul> <p><b>Safeguards:</b></p> <ul style="list-style-type: none"> <li>- Outcome is outlined beforehand but not guaranteed</li> <li>- HRM system, healthy relationships</li> </ul>	<p><b>Dark side</b> </p> <p><b>Superficial red:</b> fake interest, procedural rigor, smothering</p> <p><b>Pitfalls:</b> ignoring power, sparing the rod, conflict avoidance</p> <p><b>Allergies:</b> solo- or macho behavior, carelessness, problematizing</p> <p><b>Change agent</b> </p> <p><b>Role:</b> manager as coach, HRM or communication expert</p> <ul style="list-style-type: none"> <li>- People person</li> <li>- Motivating, seducing, communicating, collaborating</li> <li>- Social, dependable, interested</li> </ul> <p><b>Other actors:</b> team builders, role models, colleagues</p> <p><b>Focus:</b> procedures and atmosphere</p>

# Greenprint thinking




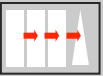



<p><b>Assumptions</b> </p> <p><b>Something changes when you:</b></p> <ul style="list-style-type: none"> <li>- Create settings for collective learning</li> <li>- Make people aware of their incompetence</li> <li>- Experiment and explore with new abilities</li> <li>- In an iterative process</li> </ul> <p><b>Conditions:</b></p> <ul style="list-style-type: none"> <li>- Sufficient safety, space and respect</li> <li>- Sense of professionalism</li> </ul> <p><b>"Changing = learning and development"</b></p>	<p><b>Ideals</b> </p> <ul style="list-style-type: none"> <li>- Learning organizations: with everybody, about everything, always</li> <li>- Growing and discovering</li> <li>- Solutions that people find themselves</li> </ul>
<p><b>Route</b> </p> <p><b>Interventions:</b></p> <ul style="list-style-type: none"> <li>- Feedback, coaching, training, MD, gaming</li> <li>- Organization development, survey feedback</li> <li>- Communities of practice</li> </ul> <p><b>Diagnostic models:</b></p> <ul style="list-style-type: none"> <li>- Johari window, learning curve, levels of learning</li> <li>- Organizational iceberg, systems thinking</li> </ul> <p><b>Safeguards:</b></p> <ul style="list-style-type: none"> <li>- Outcome envisaged beforehand, but not guaranteed</li> <li>- Permanently learning organization</li> </ul>	<p><b>Dark side</b> </p> <p><b>Superficial green:</b> individual learning away from work, lack of decisiveness</p> <p><b>Pitfalls:</b> schoolmarmish, ignoring that not everybody is willing or capable to learn</p> <p><b>Allergies:</b> political games, pigheadedness </p> <p><b>Change agent</b></p> <p><b>Role:</b> facilitator who supports people</p> <ul style="list-style-type: none"> <li>- Role model as reflective practitioner</li> <li>- Didactic design, facilitation, feedback skills, coaching</li> <li>- Empathy, creativity, curiosity</li> </ul> <p><b>Other actors:</b> champions, coaches, masters, participants</p> <p><b>Focus:</b> setting and communication</p>

# Whiteprint thinking

	<p><b>Assumptions</b> </p> <p><b>Something changes when you:</b></p> <ul style="list-style-type: none"> <li>- Assist spontaneous evolution</li> <li>- Discern underlying patterns, make meaning</li> <li>- Create space for entrepreneurs, new heroes and rituals</li> <li>- Remove obstacles and optimize conflicts</li> </ul> <p><b>Conditions:</b></p> <ul style="list-style-type: none"> <li>- Sense of humor and relevance</li> <li>- Paradoxical interventions</li> </ul> <p><b>“Changing = vitality/energy”</b></p>	<p><b>Ideals</b> </p> <ul style="list-style-type: none"> <li>- Wondrous universe full off multiple realities</li> <li>- Self-steering in networks across organizations</li> <li>- Sense making, activism, innovation</li> </ul>
<p><b>Route</b> </p> <p><b>Interventions:</b></p> <ul style="list-style-type: none"> <li>- Self steering teams, search conferences</li> <li>- Appreciative inquiry, open space meetings</li> </ul> <p><b>Diagnostic models:</b></p> <ul style="list-style-type: none"> <li>- Organizational history, sacred cows, belief systems</li> <li>- Chaos theory, megatrends</li> </ul> <p><b>Safeguards:</b></p> <ul style="list-style-type: none"> <li>- Hard to predict (the road = the destination)</li> <li>- Self steering, dialogical quality</li> </ul>	<p><b>Dark side</b> </p> <p><b>Superficial white:</b> ‘everything is good’, new age vibe</p> <p><b>Pitfalls:</b> insufficient insight into underlying dynamics, laissez faire, 'self-steering' as an excuse for management inaction</p> <p><b>Allergies:</b> boredom, mediocrity, rules, paternalism, group pressure</p>	
	<p><b>Change agent</b> </p> <p><b>Role:</b> personality who understands the ‘undercurrent’</p> <ul style="list-style-type: none"> <li>- Embracing complexity, playing with possibilities</li> <li>- Sense making, challenging the status quo, dialoguing</li> <li>- Self aware, vulnerable, imaginative, headstrong</li> </ul> <p><b>Other actors:</b> positive deviants, boundary spanners, sponsors, networks</p> <p><b>Focus:</b> patterns and persons</p>	



# The five colors at a glance

	<b>Yellow-print</b> 	<b>Blue-print</b> 	<b>Red-print</b> 	<b>Green-print</b> 	<b>White-print</b> 
<b>Something changes when you...</b>	bring common interests together	think first and then act according to a plan	stimulate people in the right way	create settings for collective learning	create space for spontaneity
<b>in a / an...</b>	power game	rational process	trading exercise	learning process	dynamic evolutionary process
<b>and create...</b>	a feasible solution, a win-win situation	the best solution, a brave new world	a motivating solution, the best 'fit'	a solution that people develop themselves	a solution that releases energy
<b>with interventions like ...</b>	forming coalitions, changing top structures	project management strategic analysis	assessments & rewards, social gatherings	gaming and coaching, open systems planning	open space meetings self-steering teams
<b>by a / an ...</b>	facilitator who uses his own power base	expert in the field, project manager	HRM expert, a manager who coaches	facilitator who supports people	person who uses his being as instrument
<b>aimed at ...</b>	positions and context	knowledge and results	procedures, inspiration and atmosphere	setting and communication	patterns and meanings
<b>The result is ...</b>	unknown and shifting	defined and guaranteed	outlined but not guaranteed	envisaged but not guaranteed	Unpredictable but not aimless
<b>safeguarded by ...</b>	decision documents and power balances	benchmarking and ISO systems	HRM systems and healthy relationships	a learning organization	self-management and dialogical quality
<b>The pitfalls lie in...</b>	dreaming and lose-lose	ignoring external and irrational aspects	smothering and conflict avoidance	excluding noone and lack of action	superficial understanding and laissez faire

# Four Practical Uses


- **Strategy:** situational choice of the ‘best’ approach fitting the issue, the organization ...
- **Change agent:** awareness of one’s own preferred style, assumptions, limitations ...
- **Diagnosis:** multiple viewpoints in understanding people, problems, organizations...
- **Communication:** common language amongst people involved reduces haggling over ‘change only happens if you ...’

# Four applications: typical puzzles

<p><b>Diagnosis</b></p> <ul style="list-style-type: none"><li>- How do you delineate what you diagnose? How to define a change effort?</li><li>- Can you do a multi-colored analysis by yourself? How can you uncover what is hidden?</li><li>- Do you understand an organization best by looking at it from its 'own color'?</li><li>- How many colors does an organization need? Do all organizations need to become learning organizations?</li></ul>	<p><b>Strategy</b></p> <ul style="list-style-type: none"><li>- Can you do a 'bit of everything' in an integral change plan?</li><li>- What criteria determines appropriate color of change strategy?</li><li>- How do you achieve sufficient depth of a chosen change approach? Are all the colors as powerful?</li><li>- Are there situations when you need to combine colors? How do you prevent interference?</li></ul>
<p><b>Change agent</b></p> <ul style="list-style-type: none"><li>- How do you define the change agents? Where are they to be found in an organization?</li><li>- How do you assess you own colors? Should one learn to master all colors? Can one have a 'neutral' stance?</li><li>- Do people change color during their careers? Should one learn to believe in the color one is good at?</li><li>- What does one need to be 'professional' in mixed colored environments?</li></ul>	<p><b>Communication</b></p> <ul style="list-style-type: none"><li>- When the colors fight, which colors typically win? What are reasons behind this?</li><li>- How to legitimize a new color when it is at odds with prevailing 'colors'? How do you get it to succeed?</li><li>- Doesn't it stigmatize, this thinking in colors? Is reality not more complicated than this?</li><li>- Is there a deeper meaning behind the 'colors'? Are they specific to certain cultures?</li></ul>

# Reading more from the author

## **About change management and the colors of change:**

- H. Vermaak & L. de Caluwé. Creating a colorful model of change. A case study of theory development. Paper Academy of Management Conference, 2015
- L. de Caluwé & H. Vermaak. Knowing yourself as a change agent: A validated test based on a colorful theory of change. In D. W. Jamieson & A. Buono (Eds.) Consultation for Organizational Change Revisited. Research in Management Consulting (vol. 21) Information Age Publishing, 2015.
- L. de Caluwé & H. Vermaak. Change paradigms: An overview. Organization Development Journal, 2004
- L. de Caluwé & H. Vermaak. Learning to Change: A guide for organization change agents. Sage, 2003
- L. de Caluwé, F. Que & H. Vermaak. Comparing psychotherapists' and change agents' approaches to change. In A. Buono (Ed.), Creative Consulting. Information Age Publishing, 2004
- L. de Caluwé & H. Vermaak. Thinking about change: Complexity and multiplicity in change processes. In J. Boonstra (Ed), Dynamics in Organizational Change and Learning. Wiley, 2004
- Online Color test for change agents 

## **About change management to deal with complexity:**

- H. Vermaak. Planning deep change through a series of small wins. Paper Academy of Management, 2013
- H. Vermaak. Facilitating local ownership through paradoxical interventions. Journal of Applied Behavioral Science, 2012
- A. Stoppelenburg & H. Vermaak. 'Defixation' as an intervention perspective. Journal of Management Inquiry, 2009
- D.P. Zandee, H. Vermaak & I.R. Jonkers. Navigating institutional complexity: textual agency for cross-level change. Paper International Conference on Organizational Discourse, 2014
- D. Zandee & H. Vermaak. Designing appreciative inquiry as generative change process. Paper International Conference on Organizational Discourse, 2012
- H. Vermaak. Using Causal Loop Diagrams to Deal with Complex Issues. Mastering an instrument for systemic and interactive change. In D. W. Jamieson & A. Buono (Eds.) Consultation for Organizational Change Revisited. Research in Management Consulting (vol. 21) Information Age Publishing, 2015
- H. Vermaak. Working interactively with causal loop diagrams. In J. Boonstra en L. de Caluwé (Eds.), Intervening and changing. Wiley, 2007


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